

Form PTO-1449
(Rev. 2-32)

U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.
BKD 179 P2

Serial No.
09/914,577

Information Disclosure
Statement by Applicant
(Use several sheets if necessary)

Applicant, R. Duane Smith

Filing Date
August 29, 2001

Group Not Yet Assigned

RECEIVED

JAN 22 2002

U.S. PATENT DOCUMENTS

Initial	Document Number	Date	Name	Class	Subclass	Group
JD	1 9 1 9 5 1 8	7/33	Kruimel			
JD	2 4 9 8 2 2 4	2/50	Stone			
JD	2 5 7 9 1 9 9	12/51	Marcalus			
JD	2 9 1 5 2 5 5	12/59	Phelps /			
JD	3 0 0 9 6 6 6	11/61	Moser			
JD	3 0 6 6 8 8 3	12/62	Egan			
JD	3 2 5 8 2 1 7	6/66	MacArthur et al.			
JD	3 7 9 4 2 5 5	2/74	Harmon et al.			
JD	3 8 5 7 5 2 4	12/74	Melead et al.			
JD	3 8 8 9 8 9 2	6/75	Melead			
JD	4 1 1 1 3 7 7	9/78	Tetro et al.			
JD	4 4 4 4 3 6 2	4/84	Karr			
JD	4 4 4 5 6 4 6	5/84	Karr et al.			

FOREIGN PATENT DOCUMENTS

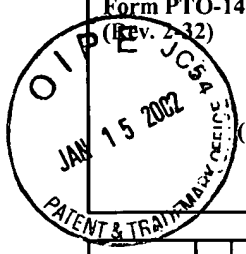
Document Number	Date	Country	Class	Subclass	Translation Yes No
4 3 5 3 1 5	7/74	USSR			X
9 0 0 0 5 1 1	7/90	WO			X
5 3 8 5 6 6	4/93	EP			X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

JD	Smith, R., "The Art of Winding Good Rolls", Paper, Film & Foil Converter '91
JD	Smith, R., "Microprocessor Control of RiderRoll Loading for New and Existing Two Drum Winders", PaperAge '88
Examiner	Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PART OF PAPER #4
Sheet 2 of 2



Form PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. BKD 179 P2	Serial No. 09/914,577
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant R. Duane Smith	
		Filing Date August 29, 2001	Group

RECEIVED
JAN 22 2002
GROUP 3600

U.S. PATENT DOCUMENTS

Exam. Initial	Document Number	Date	Name	Class	Subclass	Filing Date
218	4 5 7 2 4 5 1	2/86	Ikeda et al.	—	—	
	4 6 3 4 0 6 8	1/87	Malkki et al.	—	—	
	4 9 8 8 0 5 1	1/91	Welschlau et al.	—	—	
	4 9 9 3 6 5 2	2/91	Moeller 2191	—	—	
	5 1 5 4 3 6 7	10/92	Beisswanger	—	—	
	5 5 0 7 4 5 0	4/96	Hoar	—	—	
	5 6 1 1 5 0 0	3/97	Smith	—	—	
	5 6 3 9 0 4 5	6/97	Dörfel	—	—	
	5 6 7 3 8 7 0	10/97	Fielding et al.	—	—	
	5 6 9 0 2 9 8	11/97	Rück	—	—	
	5 7 7 2 1 4 9	6/98	Butterworth	—	—	
	5 7 9 5 3 9 4	8/98	Belotserkovsky et al.	—	—	

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	<i>jmullen</i>	Date Considered	1/6/03
----------	----------------	-----------------	--------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.